

**ADDENDUM NO. 1**  
**June 1, 2023**  
**225107**

**RE: ALBURGH VILLAGE WATER TANK REPLACEMENT**

**FROM: DuBOIS & KING, INC.**  
P.O. Box 339  
Randolph, Vermont 05060  
(802) 728-3376

**TO: Prospective Bidders**

This Addendum forms part of the Contract Documents and modifies the original Bidding Documents issued by the Village of Alburgh, Vermont, for the Alburgh Village Water Tank Replacement project dated May 25, 2023. **Acknowledge receipt of this Addendum in the space provided on Page 1 of the Bid Form. Failure to do so will subject the Bidder to disqualification.**

**I. Questions & Answers**

**The following addresses questions received by phone and email.**

**Question 1: Are the pre-qualified tank contractors required to be the General Contractors/Bidders for the Project?**

**Answer 1:** Yes. An updated Bid Form that includes the four pre-qualified tank contractors is attached.

**Question 2: Can contact information be provided for any local site civil and electrical/controls contractors?**

**Answer 2:** See table below

<b>Contractor</b>	<b>Contact</b>	<b>Phone</b>	<b>Email</b>
<b>Site/Civil</b>			
Kingsbury Companies	Jason Yelk	802-496-2205	<a href="mailto:jyelk@kingsburyco.com">jyelk@kingsburyco.com</a>
GW Tatro Construction	Cody Marsh	802-644-8875	<a href="mailto:cmarsh@gwtatro.com">cmarsh@gwtatro.com</a>
Goodhue Excavation	Daren Rivard	802-760-8834	<a href="mailto:darenrivard@gmail.com">darenrivard@gmail.com</a>
Palmer Construction, Inc.	Raleigh Palmer	802-796-3395	<a href="mailto:dhpalmer@fairpoint.net">dhpalmer@fairpoint.net</a>
Partner Excavation, Inc.	John Beaulac	802-309-1161	<a href="mailto:partnerexcavation@yahoo.com">partnerexcavation@yahoo.com</a> <a href="mailto:beaulacexcavating@yahoo.com">beaulacexcavating@yahoo.com</a>
Island Excavating Corp.	Dustin Parizo	802-372-4473	<a href="mailto:info@islandexcavatingcorp.com">info@islandexcavatingcorp.com</a>
<b>Controls</b>			
Champlin Associates	Frank Ammirato, Jr.	802-879-7136 X103	<a href="mailto:frank@champlinassociates.com">frank@champlinassociates.com</a>
<b>Electricians</b>			
DC Energy	Dwayne Cormier	802-372-9514	<a href="mailto:infor@dceivt.com">infor@dceivt.com</a>
Lakeside Electric	Mark LeClair	802-862-6508	<a href="mailto:mark@lakesidepowervt.com">mark@lakesidepowervt.com</a>

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<b>Contractor</b>	<b>Contact</b>	<b>Phone</b>	<b>Email</b>
MEI Electrical Contractors/ MEI Systems Integrators	Ryan Jackson	802-988-9678	
Mark Mallette Electric	Mark Mallette	802-233-6931	<a href="mailto:mark.mallette@yahoo.com">mark.mallette@yahoo.com</a>

This list is provided for informational purposes only and the qualifications of these potential subcontractors have not been evaluated. The list is not all-inclusive and there may be other subcontractors not listed who would be available and interested in doing this work.

**This document constitutes Addendum 1 for this project.**

# BID FORM FOR CONSTRUCTION CONTRACT

The terms used in this Bid with initial capital letters have the meanings stated in the Instructions to Bidders, the General Conditions, and the Supplementary Conditions.

## ARTICLE 1—OWNER AND BIDDER

- 1.01 This Bid is submitted to: Village of Alburgh, VT
- 1.02 This Bid is submitted by (check one):
- Caldwell Tanks, Inc.
  - CB&I Storage Tank Solutions, LLC
  - Phoenix Fabricators and Erectors, LLC
  - Pittsburg Tank & Tower Co., Inc.
- 1.03 The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an Agreement with Owner in the form included in the Bidding Documents to perform all Work as specified or indicated in the Bidding Documents for the prices and within the times indicated in this Bid and in accordance with the other terms and conditions of the Bidding Documents.

## ARTICLE 2—ATTACHMENTS TO THIS BID

- 2.01 The following documents are submitted with and made a condition of this Bid:
- A. Required Bid security (a Certified Check or Bid Bond for 5% of the Total Amount of Bid);
  - ~~B. List of Proposed Subcontractors;~~
  - ~~C. List of Proposed Suppliers;~~
  - D. Evidence of authority to do business in the state of the Project; or a written covenant to obtain such authority within the time for acceptance of Bids;
  - ~~E. Contractor's license number as evidence of Bidder's State Contractor's License or a covenant by Bidder to obtain said license within the time for acceptance of Bids;~~
  - F. Required Bidder Qualification Statement with supporting data to be submitted within five (5) days of bid by the low bidder. Does not need to be submitted with the bid;
  - G. Positive demonstration of good faith DBE effort to include, definitive contact with Listed DBEs, and/or DBE 6100 series forms EPA Form 6100-3 (DBE Subcontractor Performance Form) and EPA Form 6100-4 (DBE Subcontractor Utilization Form).
  - H. [List other documents and edit above as pertinent].

## ARTICLE 3—BASIS OF BID—LUMP SUM BID AND UNIT PRICES

- 3.01 Unit Price Bids
- A. Bidder will perform the following Work at the indicated unit prices:

ITEM NO.	BRIEF DESCRIPTION - UNIT OR LUMP SUM PRICE (IN BOTH WORDS AND NUMERALS)	ESTIMATED QUANTITY	TOTAL PRICE φ (IN NUMERALS)
1.	<u>300,000 GALLON ELEVATED WATER STORAGE TANK</u> , PER LUMP SUM; _____ DOLLARS AND _____ CENTS (\$_____)	1 L.S.	\$_____
2.	<u>EXISTING ELEVATED WATER STORAGE TANK DEMO</u> , PER LUMP SUM; _____ DOLLARS AND _____ CENTS (\$_____)	1 L.S.	\$_____
3.	<u>MOBILIZATION/ DEMOBILIZATION</u> , PER LUMP SUM; _____ DOLLARS AND _____ CENTS (\$_____)	1 L.S.	\$_____
4.	<u>12-INCH C900 PVC PIPE</u> , PER LINEAR FOOT; _____ DOLLARS AND _____ CENTS (\$_____)	220 L.F.	\$_____
5.	<u>12-INCH GATE VALVES AND APPURTENANCES</u> , PER EACH; _____ DOLLARS AND _____ CENTS (\$_____)	8 E.A.	\$_____
6.	<u>CONNECT NEW WATER SYSTEM TO EXISTING WATER SYSTEM</u> , PER EACH; _____ DOLLARS AND _____ CENTS (\$_____)	2 E.A.	\$_____
7.	<u>CUT AND CAP EXISTING WATER MAIN</u> , PER EACH; _____ DOLLARS AND _____ CENTS (\$_____)	2 E.A.	\$_____

8. HYDRANT, BRANCH, AND VALVE, PER EACH;  
 \_\_\_\_\_ DOLLARS AND 2 E.A.  
 \_\_\_\_\_ CENTS (\$ \_\_\_\_\_) \$ \_\_\_\_\_
9. REMOVE EXISTING HYDRANT, PER EACH;  
 \_\_\_\_\_ DOLLARS AND 2 E.A.  
 \_\_\_\_\_ Cents (\$ \_\_\_\_\_) \$ \_\_\_\_\_
10. NEW ELECTRIC SERVICE, PER LUMP SUM;  
 \_\_\_\_\_ DOLLARS AND 1 L.S.  
 \_\_\_\_\_ CENTS (\$ \_\_\_\_\_) \$ \_\_\_\_\_
11. ELECTRIC AND CONTROLS IMPROVEMENTS, PER LUMP SUM;  
 \_\_\_\_\_ DOLLARS AND 1 L.S.  
 \_\_\_\_\_ Cents (\$ \_\_\_\_\_) \$ \_\_\_\_\_
12. 6-FOOT CHAIN LINK FENCE AND GATES, PER LINEAR FOOT;  
 \_\_\_\_\_ DOLLARS AND 1,250 L.F.  
 \_\_\_\_\_ CENTS (\$ \_\_\_\_\_) \$ \_\_\_\_\_
13. HYDROPNEUMATIC TANKS AND PLUMBING, PER LUMP SUM;  
 \_\_\_\_\_ DOLLARS AND 1 L.S.  
 \_\_\_\_\_ CENTS (\$ \_\_\_\_\_) \$ \_\_\_\_\_
14. ROCK EXCAVATION AND DISPOSAL, PER CUBIC YARD;  
 \_\_\_\_\_ DOLLARS AND 30 C.Y.  
 \_\_\_\_\_ CENTS (\$ \_\_\_\_\_) \$ \_\_\_\_\_
15. EXCAVATION AND REPLACEMENT OF UNSUITABLE MATERIAL, PER CUBIC YARD;  
 \_\_\_\_\_ DOLLARS AND 10 C.Y.  
 \_\_\_\_\_ CENTS (\$ \_\_\_\_\_) \$ \_\_\_\_\_

16. MISCELLANEOUS EARTH EXCAVATION, PER CUBIC YARD;  
 \_\_\_\_\_ DOLLARS AND 10 C.Y.  
 \_\_\_\_\_ CENTS (\$ \_\_\_\_\_) \$ \_\_\_\_\_
17. GRANULAR AND STONE MATERIALS, PER CUBIC YARD;  
 \_\_\_\_\_ DOLLARS AND 12 C.Y.  
 \_\_\_\_\_ CENTS (\$ \_\_\_\_\_) \$ \_\_\_\_\_
18. BITUMINOUS CONCRETE PAVEMENT REPLACEMENT, PER SQUARE YARD;  
 \_\_\_\_\_ DOLLARS AND 90 S.Y.  
 \_\_\_\_\_ CENTS (\$ \_\_\_\_\_) \$ \_\_\_\_\_
19. EROSION PREVENTION AND SEDIMENT CONTROL, PER LUMP SUM;  
 \_\_\_\_\_ DOLLARS AND 1 L.S.  
 \_\_\_\_\_ CENTS (\$ \_\_\_\_\_) \$ \_\_\_\_\_

Total of All Bid Items	(TOTAL BID) <sup>7</sup>	(\$ _____)Ⓢ
		_____ Dollars
And		_____ Cents

B. Bidder acknowledges that:

1. each Bid Unit Price includes an amount considered by Bidder to be adequate to cover Contractor's overhead and profit for each separately identified item, and
2. estimated quantities are not guaranteed, and are solely for the purpose of comparison of Bids, and final payment for all Unit Price Work will be based on actual quantities, determined as provided in the Contract Documents.
3. In the event that there is a discrepancy between the lump sum or unit prices written in words and figures, the prices written in words shall govern.
4. BIDDERS must bid on each item. All entries in the entire BID must be made clearly and in ink; prices bid must be written in both words and figures.
5. Bidders must insert extended item prices obtained from quantities and unit prices.
6. Bids shall include all applicable taxes and fees.
7. Bids will be evaluated on the Total of All Bid Items (1 through 19).

ARTICLE 4—TIME OF COMPLETION

- 4.01 Bidder agrees that the Work will be substantially complete and will be completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before the dates or within the number of calendar days indicated in the Agreement.
- 4.02 ~~Bidder agrees that the Work will be substantially complete on or before [Bidder inserts date], and will be completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before [Bidder inserts date].~~
- 4.03 ~~Bidder agrees that the Work will be substantially complete within [Bidder inserts number] calendar days after the date when the Contract Times commence to run as provided in Paragraph 4.01 of the General Conditions, and will be completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions within [Bidder inserts number] calendar days after the date when the Contract Times commence to run.~~
- 4.04 Bidder accepts the provisions of the Agreement as to liquidated damages.

ARTICLE 5—BIDDER’S ACKNOWLEDGEMENTS: ACCEPTANCE PERIOD, INSTRUCTIONS, AND RECEIPT OF ADDENDA

- 5.01 Bid Acceptance Period
  - A. This Bid will remain subject to acceptance for 60 days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of Owner.
- 5.02 Instructions to Bidders
  - A. Bidder accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the disposition of Bid security.
- 5.03 Receipt of Addenda
  - A. Bidder hereby acknowledges receipt of the following Addenda:

Addendum Number	Addendum Date

ARTICLE 6—BIDDER’S REPRESENTATIONS AND CERTIFICATIONS

- 6.01 Bidder’s Representations
  - A. In submitting this Bid, Bidder represents the following:
    - 1. Bidder has examined and carefully studied the Bidding Documents, including Addenda.
    - 2. Bidder has visited the Site, conducted a thorough visual examination of the Site and adjacent areas, and become familiar with the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
    - 3. Bidder is familiar with all Laws and Regulations that may affect cost, progress, and performance of the Work.

4. Bidder has carefully studied the reports of explorations and tests of subsurface conditions at or adjacent to the Site and the drawings of physical conditions relating to existing surface or subsurface structures at the Site that have been identified in the Supplementary Conditions, with respect to the Technical Data in such reports and drawings.
5. Bidder has carefully studied the reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, with respect to Technical Data in such reports and drawings.
6. Bidder has considered the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and the Technical Data identified in the Supplementary Conditions or by definition, with respect to the effect of such information, observations, and Technical Data on (a) the cost, progress, and performance of the Work; (b) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder, if selected as Contractor; and (c) Bidder's (Contractor's) safety precautions and programs.
7. Based on the information and observations referred to in the preceding paragraph, Bidder agrees that no further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract.
8. Bidder is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents.
9. Bidder has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents, and of discrepancies between Site conditions and the Contract Documents, and the written resolution thereof by Engineer is acceptable to Contractor.
10. The Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.
11. The submission of this Bid constitutes an incontrovertible representation by Bidder that without exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.

#### 6.02 Bidder's Certifications

##### A. The Bidder certifies the following:

1. This Bid is genuine and not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any collusive agreement or rules of any group, association, organization, or corporation.
2. Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid.
3. Bidder has not solicited or induced any individual or entity to refrain from bidding.
4. Bidder has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the Contract. For the purposes of this Paragraph 8.02.A:



- a. Corrupt practice means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process.
- b. Fraudulent practice means an intentional misrepresentation of facts made (a) to influence the bidding process to the detriment of Owner, (b) to establish bid prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition.
- c. Collusive practice means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish bid prices at artificial, non-competitive levels.
- d. Coercive practice means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.
- e. Disclosure of Lobbying Activities - In conformance with 45 CFR Part 604, the Bidder certifies, to the best of his or her knowledge and belief, that:
  - 1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant or Federal loan, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant or loan.
  - 2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant or loan, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
  - 3) The Bidder shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including contracts, subcontracts, and sub- grants under grants and loans) and that all sub-recipients shall certify and disclose accordingly.
  - 4) This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
- f. Certification Regarding Debarment, Suspension, Ineligibility - The Bidder certifies that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency. Where the Bidder is unable to certify to any of the statements in this certification, the undersigned shall attach an explanation to this proposal.

- g. Notice to Labor Unions or other Organizations of Workers (Non-Discrimination In Employment) - The Bidder hereby agrees to the following conditions:
- 1) It will incorporate or cause to be incorporated into any contract for construction work, or modification thereof, paid for in whole or in part with funds obtained from the Federal Government pursuant to a grant, contract, loan, insurance, or guarantee, or undertaken pursuant to any Federal program involving such grant, contract, loan, insurance, or guarantee, the provisions prescribed for Government contracts and Federally assisted construction contracts by Sections 202 and 301 of Executive Order 11246, as amended.
  - 2) It will assist and cooperate actively with the Environmental Protection Agency and the Secretary of Labor in obtaining the compliance of Contractors and Subcontractors with said contract provisions and with the rules, regulations, and relevant orders of the Secretary.
  - 3) It will obtain and furnish to the Environmental Protection Agency and to the Secretary of Labor such information as they may require for the supervision of such compliance.
  - 4) It will enforce the obligations of Contractors and Subcontractors under such provisions, rules, regulations and orders.
  - 5) It will carry out sanctions and penalties for violations of such obligations imposed upon Contractors and Subcontractors by the Secretary of Labor or the Environmental Protection Agency.
  - 6) It will refrain from entering into any contract subject to this Order or extension or other modification of such a contract with a Contractor or Subcontractor debarred from Government contracts and Federally assisted construction contracts under Part II, Subpart D of this Order, and
  - 7) In the event that he fails and refuses to comply with his undertakings, the Bidder agrees that the Environmental Protection Agency may cancel, terminate, or suspend in whole or in part this grant (contract, loan, insurance, or guarantee), may refrain from extending any further assistance under any of its programs subject to Executive Order 11246, as amended, until satisfactory assurance of future compliance has been received from such Bidder, or may refer the case to the Department of Justice for appropriate legal proceedings.
- g. Certification of Non-Segregated Facilities - The Bidder certifies that he does not maintain or provide for his employees any segregated facilities at any of his establishments, and that he does not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. The Bidder certifies further that he will not maintain or provide for his employees any segregated facilities at any of his establishments, and that he will not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. The Bidder agrees that a breach of this certification is a violation of the Equal Opportunity clause in this contract. As used in this certification, the term segregated facilities means any

waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or are in fact segregated on the basis of race, creed, color, or national origin, because of habit, local custom, or otherwise. The Bidder agrees that (except where he has obtained identical certifications from proposed Subcontractors for specific time periods) he will obtain identical certifications from proposed Subcontractors prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity clause, and that he will retain such certifications in his files.

#### ARTICLE 7—BID SUBMITTAL

- 9.1 By signing this BID, the BIDDER certifies that the BIDDER complies with the following Federal requirements:
- A. 45 CFR Part 604 – Disclosure of Lobbying Activities
  - B. Certification regarding Debarment, Suspension, and Ineligibility Statement
  - C. Notice to Labor Unions or other Organizations  
of Workers Certification of Non-Segregated  
Facilities
- 9.2 I  have,  have not (check one), participated in a previous contract or subcontract subject to Executive order No. 11246, as amended, (regarding equal employment opportunity) or a preceding similar Executive Order. See the following for Executive Order No. 11246: <http://www.dol.gov/ofccp/regs/statutes/eo11246.htm>
- 9.3 I  have,  have not (check one), previously had contracts subject to the written affirmative action programs requirements of the Secretary of Labor.
- 9.4 Bidder understands that if Bidder has failed to file any compliance reports that have been required of them, Bidder is not eligible and will not be eligible to have their Bid considered or to enter into the proposed contract unless and until Bidder makes an arrangement regarding such reporting that is satisfactory to the Agency and the office where the reports are required to be filed.
- 9.5 Bidder understands and acknowledges that the penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

BIDDER hereby submits this Bid as set forth above:

Bidder:

\_\_\_\_\_  
(typed or printed name of organization)

By: \_\_\_\_\_  
(individual's signature)

Name: \_\_\_\_\_  
(typed or printed)

Title: \_\_\_\_\_  
(typed or printed)

Date: \_\_\_\_\_  
(typed or printed)

If Bidder is a corporation, a partnership, or a joint venture, attach evidence of authority to sign.

Attest: \_\_\_\_\_  
(individual's signature)

Name: \_\_\_\_\_  
(typed or printed)

Title: \_\_\_\_\_  
(typed or printed)

Date: \_\_\_\_\_  
(typed or printed)

Address for giving notices:

\_\_\_\_\_  
\_\_\_\_\_

Bidder's Contact:

Name: \_\_\_\_\_  
(typed or printed)

Title: \_\_\_\_\_  
(typed or printed)

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Bidder's Contractor License No.: (if applicable) \_\_\_\_\_

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Utilization Form**

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE<sup>1</sup> subcontractors<sup>2</sup> and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name		Project Name	
Bid/ Proposal No.	Assistance Agreement ID No. (if known)	Point of Contact	
Address			
Telephone No.		Email Address	
Issuing/Funding Entity:			

I have identified potential DBE certified subcontractors	<input type="radio"/> YES	<input checked="" type="radio"/> NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?

Continue on back if needed

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

**EPA FORM 6100-4 (DBE Subcontractor Utilization Form)**



OMB Control No: 2090-0030  
Approved: 8/13/2013  
Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Utilization Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

<b>Prime Contractor Signature</b>	<b>Print Name</b>
<b>Title</b>	<b>Date</b>

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

**EPA FORM 6100-4 (DBE Subcontractor Utilization Form)**

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Performance Form**

This form is intended to capture the DBE<sup>1</sup> subcontractor's<sup>2</sup> description of work to be performed and the price of the work submitted to the prime contractor. An EPA Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractor's bid or proposal package.

Subcontractor Name		Project Name	
Bid/ Proposal No.	Assistance Agreement ID No. (if known)	Point of Contact	
Address			
Telephone No.		Email Address	
Prime Contractor Name		Issuing/Funding Entity:	

Contract Item Number	Description of Work Submitted to the Prime Contractor Involving Construction, Services, Equipment or Supplies	Price of Work Submitted to the Prime Contractor
DBE Certified By: <input type="radio"/> DOT <input type="radio"/> SBA		Meets/ exceeds EPA certification standards?
<input type="radio"/> Other: _____		<input type="radio"/> YES <input type="radio"/> NO <input type="radio"/> Unknown

<sup>1</sup> A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

<sup>2</sup> Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

EPA FORM 6100-3 (DBE Subcontractor Performance Form)



OMB Control No: 2090-0030  
Approved: 8/13/2013  
Approval Expires: 8/31/2015

**Disadvantaged Business Enterprise (DBE) Program  
DBE Subcontractor Performance Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
Title	Date

Subcontractor Signature	Print Name
Title	Date

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

**EPA FORM 6100-3 (DBE Subcontractor Performance Form)**